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3-16-04  
Lisa M. Davis  
Lisa M. Davis  
Clifford Chance US LLP

Docket No. 5677-177

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Alan P. Kozikowski et al.

Filed: September 9, 2003

Group Art Unit: 1626

Serial No: 10/658,241

Examiner: TBA

For: SYNTHESIS OF DIMERIC, TRIMERIC, TETRAMERIC PENTAMERIC,  
AND HIGHER OLIGOMERIC EPICATECHIN-DERIVED PROCYANIDINS HAVING 4BETA,8-  
INTERFLAVAN LINKAGES AND THEIR USE TO INHIBIT CANCER CELL GROWTH THROUGH  
CELL CYCLE ARREST

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

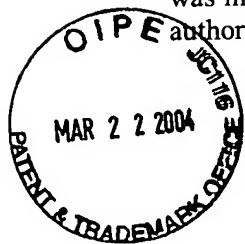
In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action

was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.



Date:

March 16, 2004

Respectfully submitted,

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	Serial No. 10/658,241
	Inventors: Alan P. Kozikowski
	Docket No. 5677-177

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,156,912	12-5-00	Tückmantel et al.	549	399	
	6,207,842	3-27-01	Romanczyk, Jr. et al.	549	399	

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
	WO 90/13304	15-11-90	WO	
	0216936	08-04-87	Europe	
	0039844	18-11-81	Europe	No
	1518003	07-01-65	Dutch	No

#### OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Botha et al., Dept. of Chemistry, University of the Orange Free State, 1980, pgs. 527-533
	Botha et al., Dept. of Chemistry, University of the Orange Free State, 1980, pgs. 1235-1245
	Delcour et al., J. Chem. Soc. Perkins Trans., 1983, pgs. 1711-1717
	Delcour et al., Laboratorium voor Toegepaste Organische, 1986, Vol. 92 pgs. 244-249
	D. Ferreira et al., Tetrahedron, 1992, Vol. 48, pgs. 1743-1803
	Kawamoto et al., Mokuzai Gakkaishi, 1991, Vol. 37 pgs. 741-747
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	Kozikowski, et al., J. Org. Chem. 2001, Vol. 66, pgs. 1287-1296
	Steenkamp et al., Pergamon Press Ltd., Vol. 26, pgs. 3045-3048
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		Jpn. Kakar Tokyo Koho JP 6248,677 3/3/87, Chem. Abstr. Vol. 107, No. 26, p. 643 (1987) Abstr. No. 107: 6996d
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		JP 58154571 9/14/83 "Tannin Deviv. for Tanning Leather – combine with Enzyme Proteins in Living Body to Inhibit Their Function" Wep Accession No. 83-797400
		JPN. Kobai Tokkyo Kaho JP 58154571 9/14/83 C.A. 100:136 144 R Derwent – non-certified translation
		E.J. Keagh et al. Chem. Ind (London) 1961:2100-1

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]